

10/534744

PTO/SB-1 (04-05)

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INDICATION FORM**

Application Number	10/534,744
Filing Date	May 12, 2005
First Named Inventor	ROBERTSON, Albert J. et al.
Title	PLANT STRESS TOLERANCE GENES, ...
Art Unit	
Examiner Name	
Attorney Docket Number	47968-A

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

02048

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature	<i>Douglas S. Gill</i>	Date	<i>July 29/05</i>
Name	<i>Douglas S. Gill</i>	Telephone	<i>306-966-7335</i>
Title and Company	<i>President University of Saskatchewan Technologies Inc.</i>		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10/534744

PTO/SB/96 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ROBERTSON, Albert J. et al.Application No./Patent No.: 10/534,744 Filed/Issue Date: May 12, 2005Entitled: PLANT STRESS TOLERANCE GENES, AND USES THEREFORUniversity of Saskatchewan Technologies Inc., a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Douglas S. Gill July 29/05  
Signature Date  
President Douglas S. Gill 306-466-7335  
Printed or Typed Name Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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COPY

Rec'd PCT/PTO 17 OCT 2005

10/534744 47968-A

U.S. ASSIGNMENT  
PENDING DES/PAT APPLICATION  
INDIVIDUAL(S) TO CO/IND

I/We,

**Albert James ROBERTSON** of Box 1, Groupsite 602, R.R. 6 Saskatoon,  
Saskatchewan S7K 3J9 Canada; and

**Lawrence Victor GUSTA** of 515 Copland Crescent, Saskatoon,  
Saskatchewan S7H 2Z4 Canada

**Guohai WU** of 2103 Kenderdine Road, Saskatoon,  
Saskatchewan S7N 4A9 CANADA

in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby sell and assign to

**UNIVERSITY OF SASKATCHEWAN TECHNOLOGIES INC.** of  
University of Saskatchewan  
Room 304, Kirk Hall  
Saskatoon, Saskatchewan S7N 5C8  
CANADA

hereinafter called the assignee, the entire right, title and interest in and to the invention entitled

***PLANT STRESS TOLERANCE GENES, AND USES THEREFOR***

as disclosed in the United States patent application Serial No. 10/534,744 filed May 12, 2005.

and in and to said United States patent, and in and to any and all reissues and extensions thereof, in and for the United States, Canada, and all other countries, the same to be held and enjoyed by the said assignee, its successors, assigns, or legal representatives to the full ends of the terms for which all Letters Patent therefor is granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, this assignment including the right to take action and recover in respect of any infringement of the patent that took place prior to the date of this assignment.

SIGNED at Saskatoon

this 12<sup>th</sup> day of August, 2005

Date :

Aug. 12. 2005

Albert James ROBERTSON

Albert James ROBERTSON

Witness :

B. Yan

Address :

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10/534744



Page 2

University of Saskatchewan Technologies Inc.

U.S. Serial No.

File No. 47968-A

Date : \_\_\_\_\_

Witness : Byan

Address : \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Lawrence Victor GUST

Lawrence Victor GUSTA

Date : Aug. 4/05

Witness : Byan

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